PROOF OF CLAIM FORM

BRIAN LINES, ET AL. FAIR FUND c/o JND Legal Administration PO Box 91405
Seattle, WA 98111

Toll-Free Number: (833) 961-3965

Distribution Website: www.secvbrianlinesetalfairfund.com

Email: info@secvbrianlinesetalfairfund.com

To be considered for eligibility for a distribution from the Brian Lines, et al. Fair Fund, created in the civil action: *SEC v. Brian N. Lines, et al.*, 1:07-cv-11387 (DLC) (S.D.N.Y.) (the "Civil Action"), you must complete and sign this Proof of Claim Form ("Claim Form"), include all necessary documentation and a completed tax certification, and submit the package to JND Legal Administration (the "Distribution Agent"). Submissions may be made by First Class Mail postmarked by March 30, 2022; and if not by First Class mail, received by the Distribution Agent by March 30, 2022; is referenced herein as the "Filing Deadline".

Failure to submit your Claim Form by the Filing Deadline will subject your claim to rejection and may preclude you from being eligible to recover any money from the Brian Lines, et al. Fair Fund. Your Claim Form must be submitted in compliance with the directions herein.

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GENERAL INSTRUCTIONS

- 1. Your completed and signed Proof of Claim, including a completed tax certification and adequate supporting documentation, must be sent by First Class Mail, postmarked no later than March 30, 2022 or, if not sent by First Class Mail, received by the Distribution Agent no later than March 30, 2022.
- 2. You must include all of your transactions requested in Parts II(A) and II(B), the Schedules of Transactions (pages 6 and 7), and you and/or your representative must fully complete this Claim Form. The Claim Form must be signed by the beneficial owner of the Eligible Security (see paragraph 7 below) or by their representative, under the penalty of perjury. If you fail to complete and sign the Claim Form, including adequate supporting documentation and a completed tax certification, your claim may be rejected, and you may be precluded from any recovery from the Brian Lines, et al Fair Fund.
 - 3. DO NOT use highlighter on the Claim Form or any supportive documents.
- 4. Submission of the Claim Form does not guarantee that you will be eligible for a Distribution Payment; eligibility will be determined in accordance with the criteria in the Court-approved Plan, available for review and download at www.SECvBrianLinesetalFairFund.com.
- 5. <u>Tax Forms</u>: The interest component of the distribution is U.S. source income. Therefore, to ensure that the Brian Lines, et al Fair Fund can comply with its reporting and/or withholding obligations, U.S. persons (defined below) must complete the Substitute Form W-9 on page 9 of this Claim Form; persons who are not U.S. persons as defined below must obtain the proper IRS Form W-8 series (W-8BEN, W-8BEN-E, W-8IMY, W-8ECI, or W-8EXP). The appropriate tax form must be completed, signed, and submitted with your completed Claim Form. IRS Forms W-8BEN, W-8BEN-E, or other W-8 series forms, can be obtained from the IRS website: https://www.irs.gov/forms-instructions.
 - (a) The term "U.S. person" means:
 - 1. A citizen or resident of the United States.
 - 2. A partnership created or organized in the United States or under the law of the United States or of any State, or the District of Columbia,
 - 3. A corporation created or organized in the United States or under the law of the United States or of any State, or the District of Columbia,
 - 4. Any estate or trust other than a foreign estate or foreign trust, (See IRS Code Section 7701(a)(31) for the definition of a foreign estate and a foreign trust), or
 - 5. Any other person that is not a foreign person.
 - (b) Your completed W-9 or W-8 series form must be received by the Distribution Agent with your completed and signed Claim Form, **no later than March 30, 2022**. If the Distribution Agent does not receive a valid and complete Form W-9 or W-8 from you, the Distribution Agent may be required under the Internal Revenue Code to make certain presumptions about you for purposes of tax reporting and, as applicable, withholding. The Distribution Agent may be required to presume the interest is being paid to: (1) a payee subject to 30% withholding under the Foreign Account Tax Compliance Act ("FATCA") and reporting on Form 1042-S; (2) a nonresident alien of the U.S. ("NRA") subject to reporting and 30% NRA withholding and reporting on Form 1042-S; or (3) a U.S. person subject to 24% backup withholding and reporting on Form 1099-INT.
 - (c) You may wish to consult with your tax advisor to determine the appropriate tax treatment for your particular situation.

6. Claim Form Submission:

(a) First Class Mail or other Delivery: Submissions by First Class Mail must be postmarked no later than March 30, 2022; submissions by other delivery service must be RECEIVED by the Distribution Agent no later than March 30, 2022. Unless your Claim Form is submitted with a U.S. Mail postmark, it will be deemed to have been submitted when actually received by the Distribution Agent. You must send your completed and signed Claim Form, adequate supporting documentation, and completed tax certification, to the address below:

Brian Lines, et al. Fair Fund c/o JND Legal Administration PO Box 91405 Seattle, WA 98111

- (b) It is your responsibility to timely submit your completed and signed Claim Form, tax certification, and adequate supporting documentation in accordance with the directions herein and you must be able to document timely, proper, and complete submission.
- 7. Use the Schedules of Transactions in Part II of this Claim Form, pages 6 and 7, to supply all required details of your transaction(s) (including free transfers and deliveries) and holdings of each Eligible Security. On these schedules, please provide all of the requested information with respect to your holdings, purchases, acquisitions, and sales of the Eligible Security, regardless of whether such transactions resulted in a profit or a loss. Failure to report all transaction and holding information during the requested Relevant Period may result in the rejection of your claim.

The Eligible Securities and the Corresponding Relevant Periods

Where to include Transaction Details	Company Name	Trading Symbol	Relevant Period Start Date	Relevant Period End Date
Part II(A)	Sedona Software Solutions, Inc.	SSSI	1/21/2003	1/29/2003
Part II(B)	SHEP Technologies, Inc	STLOF	2/19/2003	6/24/2003

- 8. You must submit supporting documentation for the transactions reported on this Claim Form, such as broker confirmation slips, broker account statements, an authorized statement from your broker reporting information about your transactions, or other similar documents. If such documents are not in your possession, please obtain copies or equivalent documents from your broker. Failure to supply this documentation may result in the rejection of your claim. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Distribution Agent. Also, please do not highlight any portion of the Claim Form or any supporting documents.
- 9. Separate Claim Forms should be submitted for each separate legal entity (i.e., a separate Claim Form should be filed for an individual account, a joint account, an IRA account, an account held for minor, etc.). Conversely, a single Claim Form should be submitted on behalf of one legal entity that includes all transactions made by that entity, no matter how many separate accounts that entity has (e.g., a corporation with multiple brokerage accounts should include all transactions made in all accounts on one Claim Form, as should an individual with multiple accounts maintained in his or her same name).
- 10. If you purchased or otherwise acquired an Eligible Security during the corresponding Relevant Period(s) and held the stock in your name, you are the beneficial owner as well as the record owner and you must sign this Claim Form to be considered for participation in the Brian Lines, et al. Fair Fund. Joint beneficial

owners must **each** sign this Claim Form and their names must appear in Part I of this Claim Form. If you purchased or otherwise acquired an Eligible Security during the corresponding Relevant Period(s) for your own benefit, but the stock was registered in the name of a third party, such as a nominee or brokerage firm, you are still the beneficial owner of these shares, but the third party is the record owner. The beneficial owner, not the record owner, must sign this Claim Form to be considered for eligibility for a distribution payment from the Brian Lines, et al. Fair Fund.

- 11. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons and entities represented by them, and they must:
 - (a) expressly state the capacity in which they are acting;
 - (b) identify the name, account number, Social Security Number (or taxpayer identification number), address and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the Eligible Security; and
 - (c) furnish evidence of their authority to submit the Claim Form on behalf the beneficial owner (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person/entity's accounts.)
- 12. By submitting this Claim Form, you will be seeking a determination of your eligibility to participate in the distribution of the Brian Lines, et al. Fair Fund. If you are NOT a Potentially Eligible Claimant (as defined in the Plan of Distribution, paragraph 6(x).), or are an Excluded Party (as defined in the Plan of Distribution, paragraph 6(m)), DO NOT submit a Claim Form.
- 13. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the mandatory electronic filing requirements and file layout, you may visit the Brian Lines, et al. Fair Fund's website at www.SECvBrianLinesetalFairFund.com, or you may email the Distribution Agent's electronic filing department at SEDSecurities@JNDLA.com. Any file not submitted in accordance with the required electronic filing format will be subject to rejection. No electronic files will be considered to have been properly submitted unless the Distribution Agent issues an email after processing your file with your claim number(s) and respective account information. Do not assume that your file has been received or processed until you receive this email. If you do not receive such an email within 20 days of your submission, you should contact the electronic filing department at SEDSecurities@JNDLA.com to inquire about your file and confirm it was received and acceptable.
- 14. If you have questions concerning the Claim Form or need additional copies of the Claim Form or the Distribution Plan Notice, you may contact the Distribution Agent by writing to the above address, by calling the toll-free hotline at 1-833-961-3965, by sending an email to info@SECvBrianLinesetalFairFund.com, or you may download the documents from www.SECvBrianLinesetalFairFund.com. PLEASE NOTE: YOUR CLAIM IS NOT CONFIRMED AS SUBMITTED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE DISTRIBUTION AGENT WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM BY MAIL WITHIN 60 DAYS OF RECEIPT. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, PLEASE CONTACT THE DISTRIBUTION AGENT.

I. CLAIMANT IDENTIFICATION

The Distribution Agent will use the information supplied below for all communications regarding this Claim Form. If this information changes, you MUST notify the Distribution Agent in writing at the address above.

Complete names of all persons and entities must be provided.

Beneficial Owner's First Name Beneficial Owner's Last Name Joint Beneficial Owner's First Name (if applicable) Joint Beneficial Owner's Last Name (if applicable) If this claim is submitted for an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA, please include "IRA" in the "Last Name" box above (e.g., Jones IRA). Entity Name (if the Beneficial Owner is not an individual) Name of Representative, if applicable (e.g., executor, administrator, trustee, c/o, etc.), if different from Beneficial Owner Street Address State/Province City Zip Code Foreign Postal Code (if applicable) Foreign Country (if applicable) Telephone Number (Day) Telephone Number (Evening) Email Address (email address is not required, but if you provide it you authorize the Claims Administrator to use it in providing you with information relevant to this claim) Account Number (where securities were traded)1

¹ If the account number is unknown, you may leave blank. If filing for more than one account for the same legal entity, you may write "multiple." Please see ¶9 of the General Instructions above for more information on when to file separate Claim Forms for multiple accounts.

II(A). SCHEDULE OF TRANSACTIONS IN SEDONA SOFTWARE SOLUTIONS, INC COMMON STOCK

Please be sure to include proper documentation with your Claim Form as described in detail in the General Instructions above. Do not include information regarding securities other than Sedona Software Solutions common stock.

1. BEGINNING HOL	1. BEGINNING HOLDINGS OF SEDONA SOFTWARE SOLUTIONS, INC COMMON							
STOCK - State the	Proof of Position							
stock held as of the	Enclosed							
none, write "zero" o	none, write "zero" or "0."							
2 DUDCHASES/ACO	UICITIONS OF SEDOI	NA COETWARE CO	LITIONS INC COMMON					
			LUTIONS, INC COMMON ROUGH AND INCLUDING	IF NONE,				
			e/acquisition (including free	CHECK HERE				
	period. (Must be docur		eracquisition (including nee					
Date of Purchase/	Period: (Mast be docar		Total Purchase/	Proof of				
Acquisition (List	Number of Shares	Purchase/						
Chronologically)	Purchased/	Acquisition	Acquisition Price (excluding all fees,	Acquisition				
(Month/Day/Year)	Acquired	Price Per Share	taxes, and commissions)	Enclosed				
(Monthin Buyi Tour)								
1 1		\$	\$					
1 1		\$	\$	\square Y \square N				
			•					
1 1		\$	\$	$\square_{Y} \square_{N}$				
	N STOCK DURING THE	IF NONE,						
PERIOD FROM JA	CHECK HERE							
 Separately list ea 								
' '	period. (Must be documented.) Date of Sale No. 1							
Date of Sale	Number of	Proof of Sale						
(List Chronologically)	Shares Sold	Sale Price Per Share	(excluding all fees,	Enclosed				
(Month/Day/Year)		1 01 011410	taxes, and commissions)					
1 1		\$	\$	$\square_{Y} \square_{N}$				
, ,								
, ,		\$	\$	$\square_{Y} \square_{N}$				
1 1		Ψ	Ι Ψ					
		Φ.	œ.					
1 1		\$	\$	\square Y \square N				
4. ENDING HOLDING	GS – State the total	number of shares of	of SEDONA SOFTWARE					
SOLUTIONS, INC	Proof of Position							
(Must be document	Enclosed							
,								
	∐Y ∐N							
IF YOU REQUIRE ADDITIONAL SPACE FOR THE SCHEDULE ABOVE, ATTACH EXTRA SCHEDULES IN								
THE SAME FORMAT. PRINT THE BENEFICIAL OWNER'S FULL NAME AND LAST FOUR DIGITS OF								
SOCIAL SECUE								
	ITY/TAXPAYER IDEN	TIFICATION NUMBE	R, AND THE SPECIFIC SEC JLES, CHECK THIS BOX.					

II(B). SCHEDULE OF TRANSACTIONS IN SHEP TECHNOLOGIES, INC. COMMON STOCK

Please be sure to include proper documentation with your Claim Form as described in detail in the General Instructions above. Do not include information regarding securities other than SHEP Technologies, Inc. common stock.

1 REGINNING HO								
BEGINNING HOLDINGS OF SHEP TECHNOLOGIES, INC COMMON STOCK – State the total number of shares of SHEP Technologies, Inc. common stock held as of the Proof of Position Output Description Descrip								
		Proof of Position						
open of trading o	Enclosed							
or "0."								
2. PURCHASES/ACQ	UISITIONS OF SHEP	TECHNOLOGIES,	INC COMMON STOCK	IF NONE,				
DURING THE PER	IOD FROM FEBRUAR'	Y 19, 2003 THROUG	H AND INCLUDING JUNE	CHECK HERE				
24, 2003 – Separate								
this period. (Must b	e documented.)							
Date of Purchase/	Number of Shares	Purchase/	Total Purchase/	Proof of				
Acquisition (List	Purchased/	Acquisition	Acquisition Price	Purchase/				
Chronologically)	Acquired	Price Per Share	(excluding all fees,	Acquisition				
(Month/Day/Year)	Acquired	Price Per Silare	taxes, and commissions)	Enclosed				
1 1		\$	\$	$\square_{Y} \square_{N}$				
1 1		Ψ	Ψ					
1 1		\$	\$	\square Y \square N				
		•						
1 1	/ / \$							
3. SALES OF SHEP	IF NONE,							
FROM FEBRUARY	CHECK HERE							
list each and every								
	sale/disposition (includi	ng free deliveries) du	ring this period. (Must be					
documented.)	sale/disposition (includi	ng free deliveries) du						
documented.) Date of Sale		,	Total Sale Price					
documented.) Date of Sale (List Chronologically)	Number of	Sale Price	Total Sale Price (excluding all fees,	Proof of Sale				
documented.) Date of Sale		,	Total Sale Price					
documented.) Date of Sale (List Chronologically)	Number of	Sale Price	Total Sale Price (excluding all fees,	Proof of Sale				
documented.) Date of Sale (List Chronologically)	Number of	Sale Price Per Share	Total Sale Price (excluding all fees, taxes, and commissions)	Proof of Sale Enclosed				
documented.) Date of Sale (List Chronologically)	Number of	Sale Price Per Share	Total Sale Price (excluding all fees, taxes, and commissions)	Proof of Sale Enclosed				
documented.) Date of Sale (List Chronologically)	Number of	Sale Price Per Share	Total Sale Price (excluding all fees, taxes, and commissions)	Proof of Sale Enclosed				
documented.) Date of Sale (List Chronologically)	Number of	Sale Price Per Share	Total Sale Price (excluding all fees, taxes, and commissions) \$	Proof of Sale Enclosed				
documented.) Date of Sale (List Chronologically)	Number of	Sale Price Per Share	Total Sale Price (excluding all fees, taxes, and commissions)	Proof of Sale Enclosed				
documented.) Date of Sale (List Chronologically) (Month/Day/Year) / / / /	Number of Shares Sold	Sale Price Per Share \$	Total Sale Price (excluding all fees, taxes, and commissions) \$	Proof of Sale Enclosed Y N Y N Y N				
documented.) Date of Sale (List Chronologically) (Month/Day/Year) / / / / 4. ENDING HOLDING	Number of Shares Sold Shares Sold	Sale Price Per Share \$ \$ sheer of shares of SHE	Total Sale Price (excluding all fees, taxes, and commissions) \$	Proof of Sale Enclosed Y N Y N Y N Proof of Position				
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documented.) Date of Sale (List Chronologically) (Month/Day/Year) / / / / 4. ENDING HOLDING common stock held	Number of Shares Sold SS – State the total num as of the close of tradi	Sale Price Per Share \$ \$ sheer of shares of SHE	Total Sale Price (excluding all fees, taxes, and commissions) \$ \$ FOUND TO THE PRICE TO THE PR	Proof of Sale Enclosed Y N Y N Y N Proof of Position Enclosed				
documented.) Date of Sale (List Chronologically) (Month/Day/Year) / / / / 4. ENDING HOLDING common stock held	Number of Shares Sold SS – State the total num as of the close of tradi	Sale Price Per Share \$ \$ sheer of shares of SHE	Total Sale Price (excluding all fees, taxes, and commissions) \$ \$ FOUND TO THE PRICE TO THE PR	Proof of Sale Enclosed Y N Y N Y N Proof of Position				
documented.) Date of Sale (List Chronologically) (Month/Day/Year) / / / / 4. ENDING HOLDING common stock held if none, write "zero"	Number of Shares Sold SS – State the total num as of the close of tradi	Sale Price Per Share \$ \$ sheer of shares of SHE ng on JUNE 24, 200	Total Sale Price (excluding all fees, taxes, and commissions) \$ \$ Precent Technologies, Inc. (Must be documented.)	Proof of Sale Enclosed Y N Y N Y N Proof of Position Enclosed Y N				
documented.) Date of Sale (List Chronologically) (Month/Day/Year) / / / / 4. ENDING HOLDING common stock held lf none, write "zero"	Number of Shares Sold SS – State the total num as of the close of tradition or "0."	Sale Price Per Share \$ share solution of the share of t	Total Sale Price (excluding all fees, taxes, and commissions) \$ \$ EP TECHNOLOGIES, INC 3. (Must be documented.)	Proof of Sale Enclosed Y N Y N Y N Proof of Position Enclosed Y N				
documented.) Date of Sale (List Chronologically) (Month/Day/Year) / / / / 4. ENDING HOLDING common stock held lf none, write "zero" IF YOU REQUIR THE SAME FOR	Number of Shares Sold SS – State the total num as of the close of tradi or "0." E ADDITIONAL SPACE MAT. PRINT THE BE	Sale Price Per Share \$ share solution in the share of shares of SHE and on JUNE 24, 200 EFOR THE SCHEDUENEFICIAL OWNER'	Total Sale Price (excluding all fees, taxes, and commissions) \$ \$ P TECHNOLOGIES, INC (Must be documented.) LE ABOVE, ATTACH EXTRAS FULL NAME AND LAST F	Proof of Sale Enclosed Y N Y N Proof of Position Enclosed Y N SCHEDULES IN FOUR DIGITS OF				
documented.) Date of Sale (List Chronologically) (Month/Day/Year) / / / / 4. ENDING HOLDING common stock held If none, write "zero" THE SAME FOR SOCIAL SECUR	Number of Shares Sold SS – State the total num as of the close of tradi or "0." E ADDITIONAL SPACE MAT. PRINT THE BE	Sale Price Per Share \$ \$ ber of shares of SHE ng on JUNE 24, 200 FOR THE SCHEDU ENEFICIAL OWNER' IFICATION NUMBE	Total Sale Price (excluding all fees, taxes, and commissions) \$ \$ EP TECHNOLOGIES, INC 3. (Must be documented.)	Proof of Sale Enclosed Y N Y N Proof of Position Enclosed Y N SCHEDULES IN FOUR DIGITS OF				

III. CERTIFICATION, SUBSTITUTE W-9 AND SIGNATURE

The undersigned represents and certifies UNDER PENALTY OF PERJURY that:

- 1. I am NOT:
 - (a) Defendants, their current or former employees, affiliates, or controlled entities who served in such capacity during the Relevant Period, and their assigns, creditors, heirs, distributees, spouses, parents, and children;
 - (b) Any defendant in any action brought by the Commission or any class action lawsuit related to the conduct described in the Commission's complaint in this action or any related Commission action (or any of his or her affiliates, assigns, creditors, heirs, distributees, spouses, parents, children, or controlled entities) unless and until such defendant is found not liable in all such civil suits prior to the Filing Deadline, and proof of the finding(s) is included in such defendant's timely filed Proof of Claim Form;
 - (c) Any Person who, as of the Filing Deadline, has been the subject of criminal charges related to the violations alleged in the Commission's complaint in this action or any related Commission action (or any of his or her affiliates, assigns, creditors, heirs, distributees, spouses, parents, children, or controlled entities) unless and until such defendant is found not guilty in all such criminal actions prior to the Filing Deadline, and proof of the finding(s) is included in such defendant's timely filed Proof of Claim Form;
 - (d) Any Person who assigned their right to obtain a recovery in the Commission's action against Defendants; provided, however, that this provision shall not be construed to exclude those Persons who obtained such a right by gift, inheritance, devise or operation of law; or
 - (e) The Distribution Agent, its employees, and those persons assisting the Distribution Agent in its role as the Distribution Agent.
- 2. I understand that the Distribution Agent may require additional information from me in order to validate or pay my claim, and I agree to provide any information requested by the Distribution Agent for those purposes;
- 3. I agree that under no circumstances shall the Distribution Agent or its agents incur any liability to me or to any other Person if it makes a distribution in accordance with the list of all Eligible Claimants as approved by the Commission and that I am enjoined from taking any action in contravention of this provision;
- 4. I agree that upon receipt and acceptance by me of a distribution from the Brian Lines, et al. Fair Fund, I shall be deemed to have released all claims that I may have against the Distribution Agent and its agents and shall be deemed enjoined from prosecuting or asserting any such claims;
- 5. If I am a custodian, trustee, or professional investing on behalf of and representing more than one claimant in a pooled investment fund or entity, I also attest that any distribution received will be allocated for the benefit of current or former pooled investors and not for the benefit of management;
- 6. If signing this Proof of Claim Form on behalf of a corporation, partnership or other business entity, I have the legal authority to act on its behalf and execute this Proof of Claim Form;
- 7. I agree to submit to the jurisdiction of the Court in the Civil Action for all purposes relating to this claim;

8.	I (we)	have	read	my	(our)	foregoing	Proof	of	Claim	Form,	including	any	atta	ıchm	ents	and
enclosures,	and that	the Pr	oof of	Clai	m Fori	m includin	ig any	atta	chmen	its and	enclosure	s is t	true	and	corre	ct in
every aspec	ct; and															

9. I (we) have not authorized nor am aware of anyone else who has filed a Proof of Claim Form on my (our) behalf for the same losses covered in this Proof of Claim Form.

Certification and Substitute FORM W-9

Social Security Number / Taxpayer Identification	n Number:
Exempt Payee Code (if any) Exemption	n from FATCA reporting code (if any)
Check appropriate box for federal tax classificat	ion:
☐ Individual ☐ C Corporation ☐ S Corporation☐ Other	☐ Partnership ☐ Trust/Estate
☐ Limited Liability Company - choose tax classifice Partnership	cation □ C Corporation □ S Corporation □
Print your name as it appears on your federal in	come tax return:
First Name and Last Name, for Individuals. Enti	ty Name for businesses and trusts.
 or (b) I have not been notified by the International backup withholding as a result of a failure to has notified me that I am no longer subject in 3. I am a U.S. citizen or other U.S. person (incl.) 4. The FATCA code(s) entered on this form (if reporting is correct. 	use: (a) I am exempt from backup withholding, al Revenue Service (IRS) that I am subject to preport all interest or dividends, or (c) the IRS to backup withholding; and cluding a U.S. resident alien); and any) indicating that I am exempt from FATCA
Note: If you have been notified by the IRS that y must cross out item 2 above.	you are subject to backup withholding, you
UNDER THE PENALTIES OF PERJURY, I (WE) CER'BY ME (US) ON THIS FORM IS TRUE, CORRECT, A ANY, SUBMITTED HEREWITH ARE TRUE AND COR	AND COMPLETE, AND THAT THE DOCUMENTS, IF
Executed this day of ir (Month/Year)	(City/State/Country)
(Sign your name here)	(Sign your name here)
(Type or Print your name here)	(Type or Print your name here)
Capacity of person signing, if other than an individual, e.g., executor, president, trustee, custodian, etc.	Capacity of person signing, if other than an individual, e.g., executor, president, trustee, custodian, etc.

IV. REMINDER CHECKLIST



- 1. **Please sign the above certification.** If this Claim Form is being made on behalf of joint claimants, then both must sign.
- 2. Remember to attach only **copies** of acceptable supporting documentation as these documents will not be returned to you.





- 3. Please do not highlight any portion of the Claim Form or any supporting documents.
- 4. Keep copies of the completed Claim Form and documentation for your own records.





- The Distribution Agent will acknowledge receipt of your Claim Form by mail, within 60 days. Your claim is not deemed submitted until you receive an acknowledgement postcard. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, PLEASE CALL THE DISTRIBUTION AGENT TOLL FREE AT 1-833-961-3965.
- If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, please send the Distribution Agent written notification of your new address. If you change your name, please inform the Distribution Agent.





7. If you have any questions or concerns regarding your claim, please contact the Distribution Agent in writing at the below address, toll-free at 1-833-961-3965, by email at lnfo@SECvBrianLinesetalFairFund.com, or visit www.SECvBrianLinesetalFairFund.com. Please DO NOT call the SEC, Sedona or SHEP, any other Defendant or their Counsel with questions regarding your claim.

THIS CLAIM FORM MUST BE SUBMITTED TO THE DISTRIBUTION AGENT SO THAT IT IS **POSTMARKED NO LATER THAN MARCH 30, 2022**, ADDRESSED AS FOLLOWS:

Brian Lines, et al. Fair Fund c/o JND Legal Administration PO Box 91405 Seattle. WA 98111

You should be aware that it will take a significant amount of time to fully process all of the submitted Claim Forms. This work will be completed as promptly as time permits. Please be patient and notify the Distribution Agent of any change of address.